

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
- ☒ Application No. 10/091,001 filed on March 4, 2002
- ☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor 1 Philip T. Mellinger Date: 20 May 2002
Signature: *Philip T. Mellinger* Citizen of: United States

Inventor 2 Brian Prendergast Date: _____
Signature: _____ Citizen of: United States

Inventor 3 Duane Ritter Date: _____
Signature: _____ Citizen of: United States

Inventor 4 _____ Date: _____
Signature: _____ Citizen of: _____

☐ Additional inventors are being named on form(s) attached hereto.

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FULL NAME OF INVENTOR(S)

Inventor 1 Philip T. Mellinger Date: _____

Signature: _____ Citizen of: United States

Inventor 2 Brian Prendergast Date: 05/06/2002

Signature: [Signature] Citizen of: United States

Inventor 3 Dwayne Ritter Date: _____

Signature: _____ Citizen of: United States

Inventor 4 _____ Date: _____

Signature: _____ Citizen of: _____

☐ Additional inventors are being named on form(s) attached hereto.

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FULL NAME OF INVENTOR(S)

Inventor 1 Philip T. Mellinger Date: _____

Signature: _____ Citizen of: United States

Inventor 2 Brian Prendergast Date: _____

Signature: _____ Citizen of: United States


Inventor 3 Duane Ritter Date: 5-6-2002

Signature: [Signature] Citizen of: United States

Inventor 4 _____ Date: _____

Signature: _____ Citizen of: _____

☐ Additional inventors are being named on form(s) attached hereto.

Please type a plus sign (+) inside this box → 

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|--|-------------------------------|--|
| POWER OF ATTORNEY OR AUTHORIZATION OF AGENT | Application Number | 10/091,001 |
| | Filing Date | March 4, 2002 |
| | First Named Inventor | Philip T. Mellinger et al. |
| | Title | CREDIT CARD TRANSACTION TRACKING SYSTEMS AND METHODS |
| | Group Art Unit | 2161 |
| | Examiner Name | Unassigned |
| | Attorney Docket Number | 20375-008900 |

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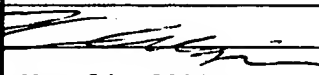
I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

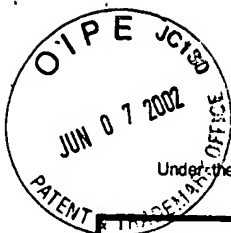
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

| | | |
|------------------|---|-----------------------------------|
| Name | Ken Algine | Title: Assistant Secretary |
| Signature |  | |
| Date | May 24, 2002 | |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.



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Attorney Docket No. 20375-008900

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Philip T. Mellinger, Brian P. Prendergast and Duane J. Ritter

Application No./Patent No.: 10/091,001 Filed/Issue Date: March 4, 2002

Entitled: CREDIT CARD TRANSACTION TRACKING SYSTEMS AND METHODS

First Data Corporation, a Delaware corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

May 24, 2002
Date

Ken Algiene
Typed or printed name


Signature

Assistant Secretary

Title

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